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Intraoperative measurement of the respiratory exchange ratio predicts postoperative complications after liver transplantation

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Abstract

Background: During surgery, any mismatch between oxygen delivery (DO_2) and consumption (VO_2) can promote the development of postoperative complications. The respiratory exchange ratio (RER), defined as the ratio of carbon dioxide (CO_2) production (VCO_2) to VO_2 , may be a useful noninvasive tool for detecting inadequate DO_2 . The primary objective of this study was to test the hypothesis that RER measured during liver transplantation may predict postoperative morbidity. Secondary objectives were to assess the ability of other variables used to assess the DO_2/VO_2 relationship, including arterial lactate, mixed venous oxygen saturation, and veno-arterial difference in the partial pressure of carbon dioxide (VAPCO₂gap), to predict postoperative complications.

Methods: This retrospective study included consecutive adult patients who underwent liver transplantation for end stage liver disease from June 27th, 2020, to September 5th, 2021. Patients with acute liver failure were excluded. All patients were routinely equipped with a pulmonary artery catheter. The primary analysis was a receiver operating characteristic (ROC) curve constructed to investigate the discriminative ability of the mean RER measured during surgery to predict postoperative complications. RER was calculated at five standardized time points during the surgery, at the same time as measurement of blood lactate levels and arterial and mixed venous blood gases, which were compared as a secondary analysis.

Results: Of the 115 patients included, 57 developed at least one postoperative complication. The mean RER (median [25–75] percentiles) during surgery was significantly higher in patients with complications than in those without (1.04[0.96–1.12] vs 0.88[0.84–0.94]; $p < 0.001$). The area under the ROC curve was 0.87 (95%CI: 0.80–0.93; $p < 0.001$) with a RER value (Youden index) of 0.92 giving a sensitivity of 91% and a specificity of 74% for predicting the occurrence of

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postoperative complications. The RER outperformed all other measured variables assessing the DO_2/VO_2 relationship (arterial lactate, SvO_2 , and $VAPCO_2$ gap) in predicting postoperative complications.

Conclusion: During liver transplantation, the RER can reliably predict postoperative complications. Implementing this measure intraoperatively may provide a warning for physicians of impending complications and justify more aggressive optimization of oxygen delivery. Further studies are required to determine whether correcting the RER is feasible and could reduce the incidence of complications.

Keywords: Morbidity, Hemodynamic monitoring, Tissue hypoxia, Anaerobic metabolism, Shock

Background

Patients receiving a liver transplant are at high-risk of developing intraoperative tissue hypoxia, which may lead to postoperative complications. Quantifying any potential mismatch between oxygen delivery (DO_2) and oxygen consumption (VO_2) in this population is therefore of particular interest [1, 2]. Various techniques have been used in an attempt to identify DO_2/VO_2 mismatch during general anesthesia [3], most notably by measuring arterial lactate concentrations, mixed venous oxygen saturation (SvO_2) [4], and veno-arterial difference in the partial pressure of carbon dioxide ($VAPCO_2$ gap) [5]. In particular, blood lactate values are of great importance as they help guide therapeutic interventions during major abdominal surgery. Hyperlactatemia is frequent during major surgery [6], and is associated with postoperative complications, increased length of stay, and mortality [7]. Strategies to increase DO_2 using goal-directed hemodynamic therapy are therefore highly recommended by anesthesia societies [8]. Blood lactate concentrations could be used as a marker, but have the limitation of being affected by various factors, including liver function, which is altered during liver transplantation surgery. In addition, changes in blood lactate concentrations are quite slow so that its measurement provides only an intermittent assessment of cellular function.

The respiratory exchange ratio (RER), on the other hand, may provide a more continuous indication of the presence of anaerobic metabolism in mechanically ventilated patients [1, 2]. The RER is calculated using values derived from the standard anesthesia machine gas analyzer with the following formula: $RER = (FeCO_2 - FiCO_2) / (FiO_2 - FeO_2)$. These variables can be easily measured in any patient receiving mechanical ventilation. In open and laparoscopic abdominal surgery, the RER has been shown to detect hyperlactatemia and to predict postoperative complications with moderate accuracy [1, 2, 9]. However, little is known about the capacity of RER to predict postoperative complications during liver transplantation. The aim of this study was to determine whether an increased RER value during liver transplantation would predict postoperative complications. Secondary objectives were to compare this indicator with other variables assessing

the DO_2/VO_2 relationship, including arterial blood lactate, SvO_2 , and $VAPCO_2$ gap.

Methods

Design and participants

All consecutive patients undergoing liver transplantation at Paul Brousse hospital in Villejuif, France from June 27th, 2020 to September 5th, 2021 were considered for inclusion in this cohort study. We excluded those who underwent urgent transplantation for acute liver failure, those who were not monitored with a pulmonary artery catheter, and patients with missing data on variables required to calculate the RER. We report our work using STROBE guidelines. The ethics committee of the French society of anesthesiology approved the study on June 8th, 2022 under the number IRB 00010254–2022-076 (Principal Investigator: Alexandre Joosten), and the IRB waived the need to obtain individual consent.

Anesthesia protocol

All patients had at least one large peripheral venous catheter and a central multilumen access venous catheter. They were monitored according to the standards of the American Society of Anesthesiology (ASA) (i.e., pulse oximetry, non-invasive blood pressure, 5-lead EKG, inhaled and expired gases, and temperature monitoring), and had invasive blood pressure monitoring through a radial or a femoral arterial catheter. Pulmonary artery pressure, continuous cardiac index, and SvO_2 were measured using a pulmonary artery catheter, inserted following anesthetic induction using the multilumen access catheter. Frontal electroencephalogram monitoring with the Bispectral index and other supplemental monitoring tools were used at the discretion of the attending anesthesiologist.

Following anesthesia induction with propofol or etomidate, sufentanil was used to control pain. Neuromuscular blockade was induced with succinylcholine (or rocuronium if contraindicated), and maintained with atracurium. Anesthesia was maintained using sevoflurane. Mean arterial pressure was maintained at least at 70 mmHg using a norepinephrine infusion.

The surgical technique was also standardized, including the so-called “3-vein piggy-back” technique for vena cava reconstruction. In rare cases of vena cava replacement, a veno-venous bypass was used in the presence of poor hemodynamic tolerance during caval clamping.

Exposure

Our main exposure of interest was the RER, calculated using its determinants (FiO_2 , $FiCO_2$, FeO_2 , and $FeCO_2$) at five standardized time points during surgery: T1, pulmonary artery catheter calibration; T2, vena cava clamping; T3, 10 minutes after portal reperfusion; T4, 10 minutes after arterial reperfusion; T5, end of surgery.

Outcomes

The primary objective was to assess the RER’s capacity to predict a composite outcome of postoperative morbidity defined as the presence of at least one predefined postoperative complication occurring within 30 days after surgery and including sepsis, stroke, acute respiratory distress syndrome, myocardial infarction, wound dehiscence, biliary complications (both non-anastomotic and anastomotic strictures), acute kidney injury (KDIGO stage 2–3), vascular complications (hepatic artery stenosis or thrombosis, portal vein thrombosis, hepatic vein

thrombosis), atrial fibrillation, primary graft non-function, reoperation for any cause, and death.

Secondary objectives were to assess the accuracy of blood lactate, SvO₂, and VAPCO₂gap to predict the composite outcome of postoperative morbidity. These variables were simultaneously measured with the RER via arterial and mixed venous blood gas sampling.

Data collection

Patient baseline characteristics, intraoperative variables, postoperative complications, and 30-day mortality were prospectively collected by research staff using data from our electronic medical records.

Statistical analysis

The Kolmogorov Smirnov test determined that data were not normally distributed and continuous variables are thus reported as median with quartiles [25th -75th percentile] and compared with a Mann-Whitney U-test. Discrete data are expressed as number and percentage and were compared using a Chi square or a Fisher’s exact test when indicated. A *p* value inferior to 0.05 was considered statistically significant, unless multiple comparisons were carried out, as was the case when comparing different time points. In that situation the *p* value was adjusted

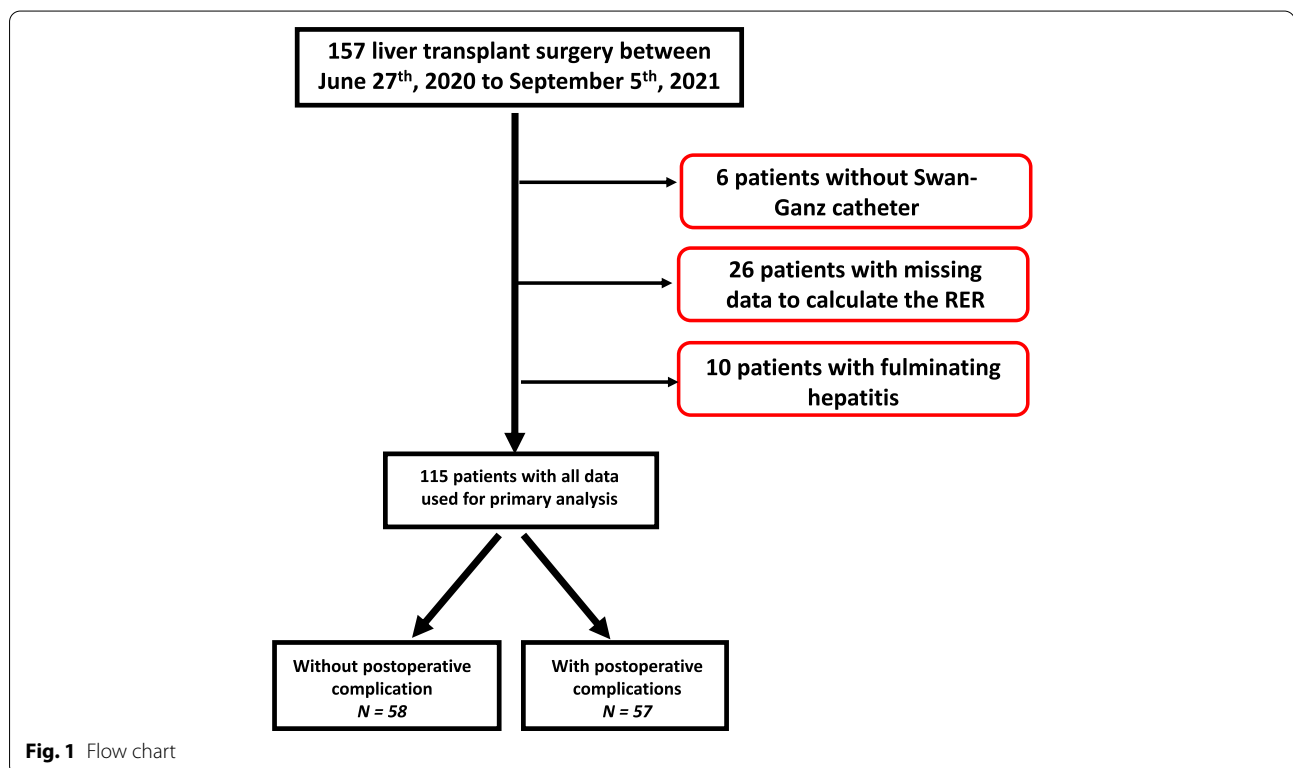


Table 1 Postoperative complications

Type of complication, N (%)	
Infection including sepsis	30 (26.1)
Stroke	6 (5.2)
ARDS	8 (7.0)
Myocardial infarction	1 (0.9)
Wound dehiscence	3 (2.6)
Reoperation	17 (14.8)
Biliary complications	4 (3.5)
Acute kidney injury ^a	26 (22.6)
Vascular complications	8 (7.0)
Atrial fibrillation	1 (0.9)
Primary graft non-function	11 (9.6)
Death	1 (0.9)

ARDS: acute respiratory distress syndrome. a Acute kidney injury includes KDIGO stages 2 and 3

for multiple comparisons with the Bonferroni correction ($p = 0.05/5 = 0.01$) and was thus significant when < 0.01 .

Our primary analysis was the estimation of the discriminative property of the mean RER calculated from the five time points to predict postoperative complications using

the area under the receiver operating characteristics (AUROC) curve. To do this, we separated patients into two groups: those with and those without complications. We first fitted a logistic regression model and then estimated the AUROC according to Delong et al. and its 95% confidence intervals with the calculation of an exact Binomial Confidence Interval [10]. From the ROC curves, the optimal cut-off value yielding the greatest combined sensitivity and specificity was measured using the Youden index. We defined values within the 95% CI of the obtained threshold value as inconclusive (gray zone) according to Cannes-son et al. [11]. This gray zone approach defines a zone of uncertainty, which explores the clinical usefulness of the RER to predict postoperative complications. Statistical analyses were conducted with MedCalc® Statistical Software version 19.6.4 (MedCalc Software Ltd., Ostend, Belgium; <https://www.medcalc.org>; 2021).

Results

Among the 157 patients undergoing liver transplantation during the study period, 42 were excluded because they had urgent transplantation for fulminating hepatitis

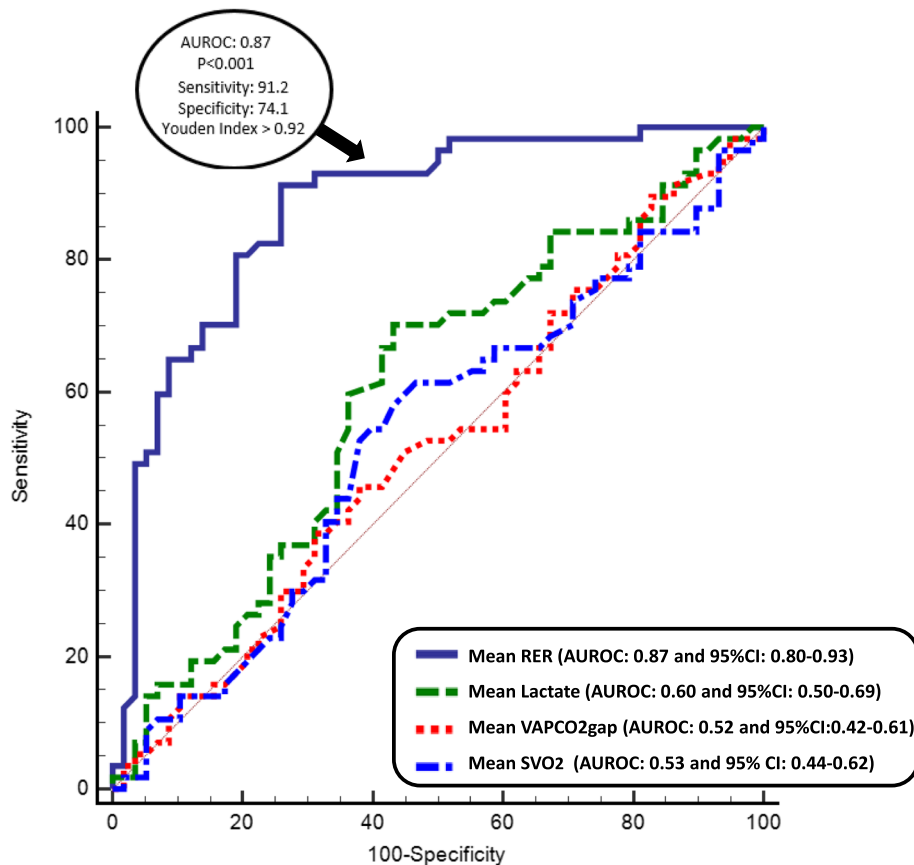


Fig. 2 Receiver operating characteristic curve to examine the ability of the RER, VAPCO₂gap, lactate and SvO₂ can predict the occurrence of postoperative complications

($N = 10$), missing data to determine the RER ($N = 26$), or no pulmonary artery catheter ($N = 6$). Of the remaining 115 patients, 57 (50%) developed at least one postoperative complication (Fig. 1), predominantly infectious, renal, or reoperation (Table 1). As patients with missing data were excluded, there was no missing data.

A mean RER of 0.92 (95% CI: 0.91–1.02) predicted the occurrence of postoperative complications with a sensitivity of 91% and a specificity of 74%. The AUROC was 0.87 (95% CI: 0.80–0.93; $p < 0.001$). 24 patients (21% of the study group) were in the gray zone (0.92–0.99). The RER outperformed all other indicators of tissue perfusion (Fig. 2).

Patients who developed postoperative complications had similar baseline characteristics to those who did not (Table 2) but stayed longer in the intensive care unit (149 hours vs 104 hours; $p = 0.004$) and in the hospital (36 days vs 23 days; $p = 0.002$). 30-day mortality was not statistically significantly different between the two

groups. Amounts of intraoperative fluids, blood loss, and operative times were also similar in the two groups (Supplementary Table 1).

The evolution of RER values over time was significantly different in the two groups of patients (Fig. 3). The RER increased steadily after vena cava clamping until the end of surgery in patients who developed postoperative complications, but remained almost unchanged in those who did not. Changes in blood lactate levels over time were also significantly different between the two groups of patients but occurred later. There were no significant differences in changes in SvO₂ or VAPCO₂gap (Table 3).

Discussion

During liver transplantation, the RER had excellent discriminative properties to predict postoperative complications. The RER also had higher sensitivity and specificity than other markers of tissue perfusion, such as the SvO₂.

Table 2 Preoperative data

	No Complication ($n = 58$)	Complication ($n = 57$)	<i>P</i> -value
Baseline data; N (%)			
• Age (years)	58 [51–64]	57 [47–65]	0.679
• Height (cm)	173 [162–178]	172 [162–176]	0.949
• Weight (kg)	72 [64–85]	74 [63–89]	0.989
• Arterial hypertension, N (%)	17 (29)	20 (17.4)	0.553
• Dyslipidemia, N (%)	4 (7)	4 (7)	> 0.999
• Type 1 diabetes, N (%)	2 (4)	0 (0)	0.496
• Type 2 diabetes, N (%)	3 (5)	1 (1)	0.619
• Atrial fibrillation, N (%)	14 (24)	16 (28)	0.675
• Peripheral arteriopathy, N (%)	6 (10)	5 (9)	> 0.999
• Heart failure, N (%)	5 (9)	9 (16)	0.268
• Chronic renal failure, N (%)	3 (5)	1 (2)	0.317
• Asthma, N (%)	0 (0)	3 (5)	0.119
• COPD, N (%)	2 (3)	1 (2)	0.569
Transplant-specific history			
• Model for end-stage liver disease score	18 [12–25]	14 [9–22]	0.133
• Retransplant, N (%)	2 (4)	3 (4)	> 0.999
• Combined liver-kidney transplant, N (%)	0 (0)	2 (4)	0.244
• Cold ischemia time (min)	446 [345–525]	431 [356–528]	0.922
• Warm ischemia time (min)	435 [362–555]	452 [377–567]	0.452
• Graft weight (kg)	1350 [1148–1545]	1310 [1000–1500]	0.475
Indication for transplantation, n (%)			
• Hepatocellular carcinoma	5 (9)	4 (7)	
• Alcoholic cirrhosis	33 (57)	30 (53)	
• Non-alcoholic steato hepatitis cirrhosis	8 (14)	2 (4)	
• Viral cirrhosis	3 (5)	5 (9)	
• Other ^a	9 (16)	16 (28)	0.159

Data are presented as medians with (first and third quartiles [q1, q3]) or frequencies with proportions in (%). ^a Includes primary sclerosing cholangitis, amyloidosis, primary biliary cholangitis, autoimmune hepatitis, and overlapping syndromes. COPD: Chronic Obstructive Pulmonary Disease

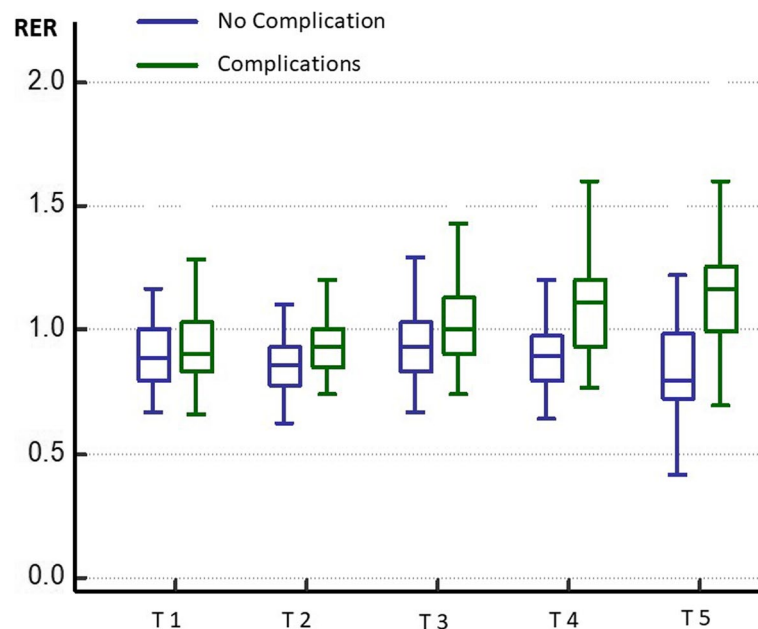


Fig. 3 Evolution of the RER at the 5 different time points between patients who developed postoperative complications and those who did not. T1 = PAC Calibration - T2 = Caval Clamping - T3 = Post Portal Reperfusion - T4 = Post Arterial reperfusion - T5 = End of surgery

The only surrogate of tissue perfusion, other than RER, that predicted postoperative complications was blood lactate measured at the end of surgery. This parameter had considerably lower sensitivity and specificity for predicting postoperative complications than did the RER. Our results confirm previous studies in which the potential of the RER to predict postoperative complications has been demonstrated [1, 2], while highlighting the delayed appearance and lower discriminative performance of arterial lactate during liver transplantation.

Lactate has consistently been shown to be an important component for risk stratification in critically ill patients. As it is a product of anaerobic metabolism, many clinicians equate hyperlactatemia with hypoxia. However, hyperlactatemia can arise from non-hypoxemic causes, such as liver disease, and is extremely frequent in liver transplant patients. Nonetheless, hyperlactatemia has been shown to predict primary graft dysfunction and mortality following liver transplantation [12, 13]. Although it remains an essential marker of a mix of tissue hypoxia and liver dysfunction, our results suggest that RER may be more useful to assess intraoperative tissue oxygen delivery and consumption imbalance in this population.

In addition to alterations in lactate metabolism, liver transplant patients suffer from several other physiological alterations that may help to explain the lack of sensitivity and specificity of parameters such as

VAPCO₂gap and SvO₂. VAPCO₂gap increases exponentially with decreasing cardiac output and has been shown to be extremely useful during hypovolemic, obstructive, and cardiogenic shock [14]. Patients suffering from liver failure, however, exhibit a hyperdynamic state with sustained high cardiac output [15]. Low cardiac output states are quite infrequent in patients suffering from end-stage liver disease and this may explain why the VAPCO₂gap does not predict postoperative complications in this specific population. SvO₂ may also be a poor predictor of VO₂/DO₂ mismatch in liver transplantation patients due to several reasons, including increased arterio-venous shunting [16] and the hypometabolic effects of anesthesia [17], which both increase SvO₂.

Previous reports have also shown the capacity of the RER measured specifically during abdominal surgery to predict postoperative complications [12, 13]. This physiological variable could therefore be used in synergy with hemodynamic variables to guide hemodynamic optimization in these patients in the hope of improving tissue oxygenation and lowering the risk of complications. During liver transplantation, measurement of the RER may help confirm the development of tissue hypoxia and the need to correct it by increasing tissue oxygen supply. It may also help differentiate the etiology of hyperlactatemia, a known risk factor for graft dysfunction and mortality. Further studies are required to determine the

Table 3 Intraoperative hemodynamic and tissue perfusion indices

	Without Complication (n = 58)	With Complications (n = 57)	P value
Respiratory Exchange Ratio			
T1	0.89 [0.80–1.00]	0.90 [0.83–1.03]	0.242
T2	0.86 [0.78–0.93]	0.93 [0.85–1.00]	0.005
T3	0.93 [0.83–1.03]	1.00 [0.90–1.13]	0.015
T4	0.90 [0.80–0.98]	1.11 [0.93–1.20]	<0.001
T5	0.80 [0.72–0.99]	1.17 [1.00–1.26]	<0.001
→ Average of T1 to T5	0.88 [0.84–0.94]	1.04 [0.96–1.12]	<0.001
Mean Arterial Pressure (mmHg)			
T1	82 [73–86]	83 [74–88]	0.489
T2	76 [70–88]	73 [68–86]	0.354
T3	83 [77–88]	79 [74–89]	0.467
T4	78 [72–92]	78 [71–84]	0.317
T5	73 [68–82]	75 [69–80]	0.643
→ Average of T1 to T5	79 [76–82]	78 [75–84]	0.741
Cardiac Index (l/min/m²)			
T1	4.2 [3.2–5.4]	4.3 [3.4–5.7]	0.667
T2	3.7 [3.1–4.8]	4.3 [3.1–5.7]	0.275
T3	4.0 [3.3–5.0]	4.1 [3.5–5.8]	0.159
T4	4.0 [2.8–5.5]	4.1 [3.3–4.8]	0.662
T5	5.1 [4.2–6.5]	5.5 [4.2–6.5]	0.519
→ Average of T1 to T5	4.3 [3.6–5.2]	4.5 [3.7–5.7]	0.322
SVRI (dynes. s. m²/cm⁵)			
T1	1427 [1027–1802]	1248 [825–1781]	0.278
T2	1379 [1153–1720]	1244 [996–1633]	0.196
T3	1351 [1065–1844]	1223 [934–1873]	0.457
T4	1505 [1103–2019]	1395 [1041–1795]	0.318
T5	963 [747–1234]	941 [786–1237]	0.973
→ Average of T1 to T5	1325 [1019–1724]	1210 [916–1664]	0.444
pCO₂ gradient (mmHg)			
T1	3.3 [2.5–4.6]	3.7 [2.6–4.7]	0.663
T2	3.6 [2.1–4.5]	3.5 [2.4–4.3]	0.904
T3	3.4 [2.2–4.5]	3.0 [2.0–4.5]	0.570
T4	3.6 [2.5–5.0]	4.0 [2.4–5.0]	0.724
T5	3.3 [2.8–4.3]	3.2 [2.2–3.9]	0.423
→ Average of T1 to T5	3.6 [2.8–4.2]	3.5 [2.8–4.1]	0.733
Lactate value (mmol/l)			
T1	1.5 [1.0–2.0]	1.2 [0.9–1.6]	0.017
T2	2.4 [1.5–3.4]	2.1 [1.6–3.3]	0.650
T3	3.5 [2.8–4.5]	3.9 [2.9–5.0]	0.171
T4	4.4 [3.4–5.4]	5.2 [4.0–6.6]	0.012
T5	3.8 [2.6–5.6]	5.4 [3.8–7.3]	0.005
→ Average of T1 to T5	3.1 [2.7–4.0]	3.6 [3.0–4.4]	0.058
SvO₂ (%)			
T1	84 [79–88]	86 [80–88]	0.492
T2	82 [78–87]	82 [78–86]	0.924
T3	86 [82–89]	85 [83–89]	0.875
T4	84 [80–87]	86 [79–89]	0.413
T5	82 [79–87]	84 [80–88]	0.214
→ Average of T1 to T5	83 [81–88]	84 [81–87]	0.570

Values are presented as median [interquartile range]; SVO₂: mixed venous oxygen saturation) T1 = PAC Calibration - T2 = Caval Clamping - T3 = Post Portal Reperfusion - T4 = Post Arterial reperfusion - T5 = End of surgery - pCO₂ gradient: Venous-arterial pCO₂ gradient (mmHg); SVRI: systemic vascular resistance index

potential usefulness of this parameter to improve patient outcomes during liver surgery and transplantation using different hemodynamic treatment strategies.

Limitations

The current study has strengths. Although a retrospective study, intraoperative protocols were standardized and enabled quantification of the RER during key liver transplantation time points. Furthermore, this is the first study of the RER to include only patients undergoing liver transplantation. It gives a clear perspective on this population that poses key perioperative challenges.

This study also had limitations. One important factor we did not measure, since this study was non-interventional, was the time from any corrective treatment or event to an increase in the RER. For this tool to be integrated into a goal-directed hemodynamic strategy, it would be indispensable to know how quickly after an event or treatment the RER worsens or improves. Some potentially interesting variables, such as BIS, central venous pressure, pulmonary artery pressure, or mean infused amount or norepinephrine, were not recorded and could therefore not be analyzed given the retrospective nature of the study. In addition, we did not use the Clavien-Dindo Classification and the Comprehensive Complication Index, which could have been a useful means of comparison for complications.

Conclusion

During liver transplantation, the RER predicts postoperative complications. This marker may be of particular interest to assess response to hemodynamic alterations and optimization as it is independent of liver function. Implementing this measure intraoperatively may provide a warning for physicians of impending complications and justify more aggressive optimization of oxygen delivery. A large multicenter randomized study is ongoing and will hopefully clarify the situation regarding the interest of using this variable to guide hemodynamic therapy [18].

Abbreviations

RER: respiratory exchange ratio; DO₂: oxygen delivery; VO₂: oxygen consumption; SvO₂: Mixed venous oxygen saturation; CO₂: carbon dioxide; AUC: Area under curve; ROC: receiver operating characteristics; VAPCO₂gap: veno-arterial difference in the partial pressure of carbon dioxide.

Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s12871-022-01949-2>.

Additional file 1 Supplementary Table 1. Intraoperative fluids.

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Authors' contributions

All authors read and approved the final manuscript. S.C: Analyzed the data and drafted the manuscript. O.D: Statistical analysis of the data and edited the manuscript. F.M.C: Analyzed the data and critically revised the manuscript for important intellectual content and edited the final manuscript. F.T: Collected and analyzed the data and edited the final manuscript. C.DO: Collected and analyzed the data and edited the final manuscript. F.P: Collected and analyzed the data and edited the final manuscript. C.L.C: Collected and analyzed the data and edited the final manuscript. L.H: Collected and analyzed the data and edited the final manuscript. E.L: Collected and analyzed the data and edited the final manuscript. M.M: Collected and analyzed the data and edited the final manuscript. L.T: Collected and analyzed the data and edited the final manuscript. M.L.M: Collected and analyzed the data and edited the final manuscript. H.P: Collected and analyzed the data and edited the final manuscript. Y.A: Collected and analyzed the data and edited the final manuscript. S.N: Collected and analyzed the data and edited the final manuscript. H.K: Collected and analyzed the data and edited the final manuscript. S.R: Collected and analyzed the data and edited the final manuscript. O.C: Collected and analyzed the data and edited the final manuscript. D.C: Collected and analyzed the data and edited the final manuscript. J.D: analyzed the data and critically revised the manuscript for important intellectual content and edited the final manuscript. J.L.V: analyzed the data and critically revised the manuscript for important intellectual content and edited the final manuscript. P.VdL: Statistical analysis of the data and critically revised the manuscript for important intellectual content and edited the final manuscript. A.J: Designed the study, collected and analyzed the data and drafted the manuscript.

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Availability of data and materials

The database is closed and there is no public access. However, permission to access and use the database can be obtained if necessary by request to the corresponding author.

Declarations

Ethics approval and consent for publication

The ethics committee of the French society of anesthesiology approved this study on June 8th, 2022 under the number IRB 00010254–2022-076 and waived the need for informed consent. All methods were performed in accordance with the relevant guidelines and regulations. This study adheres to the STROBE guidelines.

Consent for publication

Not applicable.

Competing interests

AJ is a consultant for Edwards Lifesciences (Irvine, California, USA), Aguetant Laboratoire (Lyon, France) and Fresenius Kabi (Bad Homburg, Germany). OD is consultant for Medtronic (Trévoux, FRANCE) and received honoraria for giving lectures for Medtronic (Trévoux, FRANCE) and Livanova (Châtillon, France). SC received honoraria for giving lectures for Medtronic (Trévoux, FRANCE) The other authors have no conflicts of interest related to this article

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